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PATENTS

Attorney Docket No. 593/004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

Applicant(s) : Thomas E. Borillo, Dean Peterson and Gregg S. Sutton
Application No. : 09/932,512 Confirmation No. : 6335
Filed : August 17, 2001
For : EXPANDABLE IMPLANT DEVICES FOR FILTERING BLOOD FLOW FROM ATRIAL APPENDAGES
Group Art Unit : 3763

New York, New York 10020
April 15, 2003

Hon. Commissioner for Patents
P.O. Box 2327,
Arlington, VA 22202

SECOND SUPPLEMENTAL
INFORMATION DISCLOSURE STATEMENT

RECEIVED

APR 23 2003

TECHNOLOGY CENTER R3700

Sir:

In accordance with 37 C.F.R. §§ 1.56 and 1.97, applicants wish to call the attention of the Examiner to the following documents:

DOCUMENT NUMBER	DATE	COUNTRY
WO 98/23322	06/04/1998	PCT
WO 97/21402	06/19/1997	PCT

These documents are also listed on the accompanying Form PTO-1449 (submitted in duplicate), and a copy of each

document is enclosed herewith. The documents were first cited in an International Search Report in a foreign patent application, which is a counterpart of another co-assigned and co-owned U.S. Patent application (S/N 10/198,261). A copy of the International Search report is enclosed.

It is respectfully requested that these references be (1) fully considered by the Patent and Trademark Office during the examination of this application; and (2) printed on any patent that may issue on this application. Applicants request that a copy of Form PTO-1449, as considered and initialed by the Examiner, be returned with the next communication.

Applicants believe that this Information Disclosure Statement is being submitted prior to the mailing date of the first Office Action on the merits, therefore, pursuant to 37 C.F.R. § 1.97(b)(3), applicants believe that no fee is required in connection with this Information Disclosure Statement. However, if for any reason a fee is due, the Director is hereby authorized to charge all fees required in connection with this Information Disclosure Statement to Deposit Account No. 06-1075. A duplicate copy of this Information Disclosure Statement is enclosed.

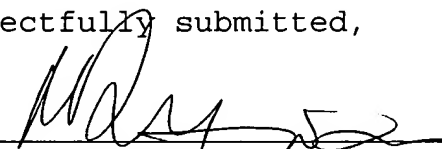
Precautionary Certification
Pursuant To 37 C.F.R. § 1.97 (c) (1) And (e) (1)

No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the statement after

making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

An early and favorable action is respectfully requested.

Respectfully submitted,


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